



# Colorado PERA 401(k) Plan Rollover

ING

Attn: Colorado PERA 401(k) Plan

PO Box 5599

Boston, MA 02206-5599

1-800-759-PERA (7372)

www.copera.org

PERA's 401(k) Plan can accept rollovers of tax-deferred (or pre-tax) money from qualified 401(a), 401(k), 401(c) Keogh, governmental 457(b), 403(a) and 403(b) plans (you must be eligible to take a distribution from the plan), conduit IRAs, and traditional IRAs. After-tax money can be rolled over only if it originated in a 401(a) or 403(a).

You are responsible for obtaining and submitting the verification to ING, proving the rollover is acceptable under the Internal Revenue Code. The rollover will not be accepted if the verification is incomplete. Send the completed form, along with the check, to ING. The check should be issued payable to The Colorado PERA 401(k) Plan.

When the required verification has been submitted and accepted by the Plan, your rollover will be invested according to your current investment mix or the default fund if you are not currently enrolled in PERA's 401(k) Plan.

Do not use this form to transfer money to purchase or reinstate service credit.

## Participant Information:

Your SSN

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Name \_\_\_\_\_ Telephone (\_\_\_\_) \_\_\_\_\_  
Last First MI

Address \_\_\_\_\_

## 1. Which rollover are you requesting? (Check one):

- 60-day Rollover.** I have received my rollover check from my other plan or IRA (one of those listed in the first paragraph above). If my check is from another plan, I will have that plan's administration complete section 2. If my check is from an IRA, I will have the financial institution (IRA custodian) complete section 3. I understand that this completed form and a copy of the check sent to me should be submitted to ING and ING will approve or deny my rollover. My personal check payable to The Colorado PERA 401(k) Plan is enclosed. (If federal taxes have been withheld from your check, you may include that amount in your personal check.)
- Direct Rollover.** My other plan or IRA (one of those listed in the first paragraph above) will issue my rollover check payable to The Colorado PERA 401(k) Plan. I will have that plan complete section 2, or have my IRA custodian complete section 3. I understand that this form must be submitted to ING along with or in advance of my rollover check, then ING will approve or deny my rollover.

I authorize my previous employer, retirement plan, or IRA custodian to release the information requested below to complete my rollover.

Participant Signature \_\_\_\_\_ Date \_\_\_\_\_

## 2. Plan Verification for 401(a), 401(k), 401(c), Governmental 457, 403 (a), and 403(b) Plans (To be completed by your previous employer or retirement plan.)

The above individual is requesting that PERA's 401(k) Plan accept a rollover from your plan. Before this request can be approved, we need verification of the status of your plan. Please complete this section and return this form to ING, along with or in advance of the rollover check. The types of acceptable plans are listed in the first paragraph on this form. Please consider this form a letter of acceptance.

Name of employer's retirement plan \_\_\_\_\_

Address \_\_\_\_\_

I certify that this is a Section \_\_\_\_\_ plan under the Internal Revenue Code. Or, I have attached a copy of the plan's IRS Favorable Determination Letter.

What event makes this account eligible for rollover? (Termination, reaching age 59½, etc.) \_\_\_\_\_

How much after-tax money was contributed prior to 1987? \$ \_\_\_\_\_

How much after-tax money was contributed in 1987 and after? \$ \_\_\_\_\_

Approximate date of distribution \_\_\_\_\_

Trustee (Custodian) who will be issuing the check \_\_\_\_\_

Your company's name \_\_\_\_\_ Telephone (\_\_\_\_) \_\_\_\_\_

Authorized Signature \_\_\_\_\_ Title \_\_\_\_\_ Date \_\_\_\_\_

**3. IRA Verification (To be completed by your IRA custodian.)**

The participant named in section 1 is requesting that PERA's 401(k) Plan accept a rollover from an IRA held by your institution. Before we can accept this request, we need verification of the IRA's purity. Please complete the following section and return this form to ING.

Name of IRA custodian \_\_\_\_\_

Type of IRA (traditional, conduit, etc.) \_\_\_\_\_

Approximate amount of the rollover to PERA's 401(k) Plan (current balance) \$ \_\_\_\_\_

Approximate date of the distribution \_\_\_\_\_

This IRA contains tax-deferred money:  Yes  No  Unknown

This account contains after-tax money:  Yes  No  Unknown

Authorized Signature \_\_\_\_\_ Date \_\_\_\_\_

Title \_\_\_\_\_ Telephone (\_\_\_\_) \_\_\_\_\_

Please return this completed form to:

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